

*Sector #*  
*#3*  
**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

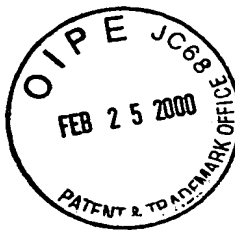
In re Application of

Hiroki MAEDA et al.

Serial No.: 09/477,725

Filed: January 5, 2000

For: INFORMATION RECORDING MEDIUM



NOTIFICATION OF PRIOR SUBMISSION OF  
ORIGINAL EXECUTED DECLARATION

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D. C. 20231

Sir:

In response to the Notice to File Missing Parts dated February 17, 2000, applicants' representatives filed all the requested documents with the U.S. PTO on February 4, 2000. Attached hereto are photocopies of applicants' representatives' postcard receipt, Submission of Original Executed Declaration with executed Declaration and the PTO's copy of the Notice to File Missing Parts.

The PTO is requested to telephone the undersigned should they have any questions regarding this submission.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

February 25, 2000  
Date

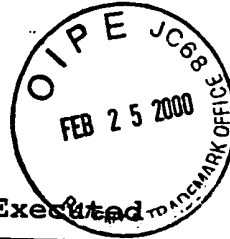
RWP/jck

Attorney Docket No. DAIN:540

PARKHURST & WENDEL, L.L.P.  
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PLEASE ACCEPT THIS AS  
AUTHORIZATION TO DEBIT  
OR CREDIT FEES TO  
DEP. ACCT. 16-0331  
PARKHURST & WENDEL



Atty. Docket No. DAIN:540

Description: Submission of Original Executed  
Declaration, Orig. Exec. Decl, Ck#12367  
\$860.00, Assign Transmittal, Orig. Exec  
Assign., Claim for Priority, JP11-002955

Inventor(s): Hiroki MAEDA et al

Title (new cases): \_\_\_\_\_

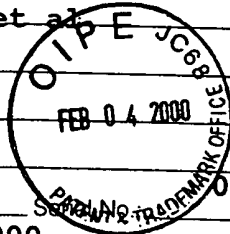
Attorney: RWP/jck 09/477,725

Paper(s) Filed: February 4, 2000

Date(s) Satisfied: February 5, 2000

N.D.D.: None

*[Handwritten signature: 2286]*



DAIN: 540

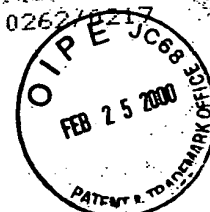
RWP

4-17-2000- MP Fees Due  
4-3-00- C/P MP Fees due 4-17-00

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/477,725	01/05/00	MAEDA	H DAIN-540

PARKHURST & WENDEL LLP  
1421 PRINCE ST  
STE 210  
ALEXANDRIA VA 22314-2805



1772

DATE MAILED:

02/17/00

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of **\$65.00** for a small entity in compliance with 37 CFR 1.27, or **\$130.00** for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 690.00

☒ 1. The statutory basic filing fee is:

☒ missing.  
☐ insufficient.

Applicant must submit \$ 690.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.  
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

BWJ 222 00  
CMM 2-23 00

02/08/2000 CUBRACH 00000012 0947725  
690.00 OP  
130.00 OP  
01 FC:101  
02 FC:105